



121203

18379 U.S. PTO

**PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.: 5308-299

DATE: **December 11, 2003****UTILITY PATENT APPLICATION TRANSMITTAL LETTER  
AND FEE TRANSMITTAL FORM (37 CFR § 1.53(b))**22154 U.S. PTO  
10/733845

121203

Mail Stop PATENT APPLICATION  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing under 37 CFR § 1.53(b) is:

- ☒ a patent application  
☐ a Continuation ☐ a Divisional ☐ a Continuation-in-Part (CIP) Application of prior application no.: \_\_\_\_\_; filed \_\_\_\_\_, the disclosure of which is incorporated herein by reference in its entirety.  
☐ and claims the benefit of Provisional Application No. \_\_\_\_\_; filed \_\_\_\_\_.

Inventor(s) or Application Identifier:

**Peter Andrews – Durham, NC**  
**Elbert Brown – Morrisville, NC**  
**Gerry Negley – Hillsborough, NC**

Entitled: SEMICONDUCTOR SUBSTRATE ASSEMBLIES AND METHODS FOR PREPARING AND  
 DICING THE SAME

Myers Bigel Sibley & Sajovec, P.A.  
 P. O. Box 37428  
 Raleigh, North Carolina 27627  
 Telephone: (919) 854-1400  
 Facsimile: (919) 854-1401  
 Customer No. 20792

Enclosed are:

1. ☒ Application Transmittal Letter and Fee Transmittal Form (*A duplicate is enclosed for fee processing*)
2. ☒ 26 pages of Specification (including 59 claims)
3. ☒ 15 sheets of Informal Drawings (35 USC 113)
4. ☐ Oath or Declaration
  - a. ☐ newly executed (*original or copy*)
  - b. ☐ copy from prior application (37 CFR 1.63(d) (*for continuation/divisional*) [Note Box 6 Below])
  - c. ☐ DELETION OF INVENTOR(S) (*Signed statement deleting inventor(s) named in the prior application*)
5. ☐ Application Data Sheet. See 37 CFR 1.76
6. ☐ Incorporation By Reference (*useable if box 4b is checked*)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under

Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.


7. ☐ Microfiche Computer Program (*Appendix*)
8. ☐ Assignment papers (*cover sheet(s) and document(s)*)
9. ☐ Applicant claims small entity status. See 37 CFR § 1.27.
10. ☒ Information Disclosure Statement, PTO-1449, and 1 reference cited
11. ☐ Preliminary Amendment (*Please enter all claim amendments prior to calculating the filing fee.*)
12. ☐ English Translation Document
13. ☐ Certified Copy of Application No. ; Filed
14. ☐ Sequence Listing/ Sequence Listing Diskette
  - a. ☐ computer readable copy
  - b. ☐ paper copy
  - c. ☐ statement in support
15. ☐ An Associate Power of Attorney
16. ☒ Return Receipt Postcard (MPEP 503) (*Should be specifically itemized*)
17. ☐ Deletion of Inventor(s) (*Signed statement attached deleting inventor(s) name in the prior application. See 37 CFR 1.63(d)(2) and 1.33(b).*)
18. ☐ Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form.
19. ☒ Other: **Application filed under 37 CFR 1.41(c)**

The fee has been calculated as shown below:

	Column 1 No. Filed	Column 2 No. Extra	Small Entity Rate Fee	Large Entity Rate Fee
BASIC FEE			\$385.00	\$ 770.00
TOTAL CLAIMS	59- 20 =	39	x 09 = \$	x 18= \$ <b>702.00</b>
INDEP CLAIMS	4- 3 =	1	x 43 = \$	x 86 = \$ <b>86.00</b>
<input type="checkbox"/> MULTIPLE Dependent Claims Presented			+ 145 = \$	+ 290 =\$
<i>If the difference in Col. 1 is less than zero, Enter "0" in Col. 2</i>			Total \$	Total= <b>\$1,558.00</b>

- ☐ A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- ☐ A check in the amount of \$ \_\_\_\_\_ is enclosed to cover the filing fee, PLUS the Assignment Recordation fee (\$40.00).
- ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_.
- ☐ The Commissioner is hereby authorized to credit overpayments or charge the following fees associated with this communication to Deposit Account No.
  - a. ☐ Additional filing fees under 37 CFR 1.16 for presentation of extra claims.
  - b. ☐ Additional patent application processing fees under 37 CFR 1.17.

Respectfully submitted,



David D. Beatty  
Registration No. 38,071

**CERTIFICATE OF EXPRESS MAILING**

Express Mail Label No. EV 318416585 US

Date of Deposit: December 11, 2003

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to: Mail Stop PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Katie A. Chung

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re:            Andrews, *et al.*  
Serial No.       To Be Assigned  
Filed:           Concurrently Herewith  
For:             SEMICONDUCTOR SUBSTRATE ASSEMBLIES AND METHODS FOR  
                   PREPARING AND DICING THE SAME

December 11, 2003

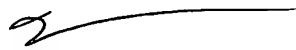
MAIL STOP PATENT APPLICATION  
Commissioner for Patents  
Post Office Box 1450  
Alexandria, Virginia 22313-1450

APPLICATION FILED UNDER 37 CFR 1.41(c)

Sir:

The above-identified application is being filed on behalf of the inventors, **Peter Andrews**, Durham, North Carolina; **Elbert Brown**, Morrisville, North Carolina; **Gerry Negley**, Hillsborough, North Carolina, under the provisions of 37 CFR 1.41(c). A Declaration and Power of Attorney from the inventors will follow, 37 CFR 1.63.

Respectfully submitted,

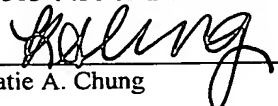
  
David D. Beatty  
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Katie A. Chung